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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In Re Application of:

Paul England, et al.

Serial No.: 09/892,367

Group Art Unit: 2131

Filing Date: June 27, 2001

Examiner: Not Yet Assigned

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*Steven H. Meyer*  
TYPED NAME: Steven H. Meyer  
REGISTRATION NO.: 37,189

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

- ☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued

examination under §1.114, no additional fee is required.

- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:
  - ☐ Certification in Accordance with §1.97(e) is attached; or
  - ☐ The fee of \$180.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:
  - ☐ Certification in Accordance with §1.97(e) is attached; or
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- ☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of \$180.00 as set forth in §1.17(p).
- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☒ Copies of references listed on the attached Form PTO-1449 are enclosed herewith  
EXCEPT THAT:
  - ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

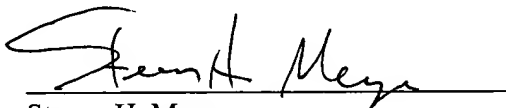
- ☒ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- ☒ Copies of references **AA-BI, BM-CY, DB-DC** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. **09/290,363**, filed **April 2, 1999** ; copies of references **BJ, CZ-DA, DD-DJ** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. **09/797,017**, filed **March 1, 2001**.
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

This form is submitted in duplicate.

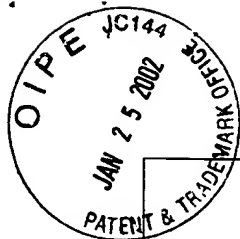
There are no listed references which are not in the English language.

Date: 12-20-2001

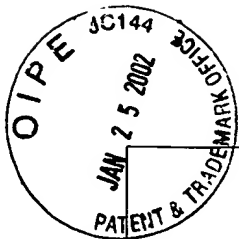


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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office		Docket No. <b>MSFT-0249/148565.1</b>	Serial No. <b>09/892,367</b>
		<b>RECEIVED</b> <b>JAN 29 2002</b> <b>Technology Center 2100</b>	
		Filing Date <b>June 27, 2001</b>	Group <b>2131</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
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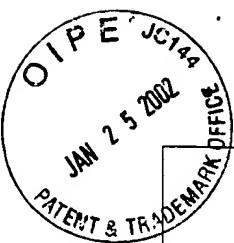
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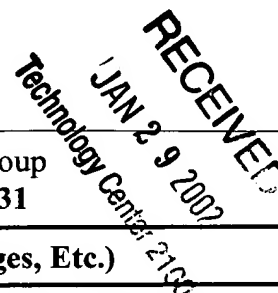


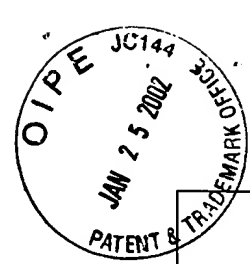
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	<b>BE</b>	Weber, R., "Digital Right Management Technology," October, 1995, 35 pages	
	<b>BF</b>	White, S.R. et al., "ABYSS: An Architecture for Software Protection," IEEE Trans. On Software Engineering, June, 1990, 16(6), 619-629	
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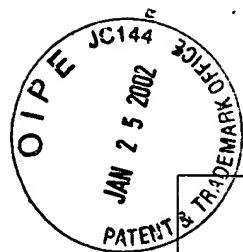




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<b>Examiner Initial</b>		<b>Document No.</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>Subclass</b>	
	<b>BM</b>	3,718,906	02/27/73	Lightner	340	147 R	
	<b>BN</b>	4,323,921	04/06/82	Guillou	358	114	
	<b>BO</b>	4,528,643	07/09/85	Freeny, Jr.	364	900	
	<b>BP</b>	4,658,093	4,658,093	Hellman	380	25	
	<b>BQ</b>	4,683,553	07/28/87	Mollier	380	4	
	<b>BR</b>	4,827,508	05/02/89	Shear	380	4	
	<b>BS</b>	4,916,738	04/10/90	Chandra et al.	380	25	
	<b>BT</b>	4,953,209	08/28/90	Ryder, Sr. et al.	380	23	
	<b>BU</b>	4,977,594	12/11/90	Shear	380	4	
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	<b>BW</b>	5,103,392	04/07/92	Mori	395	725	
	<b>BX</b>	5,103,476	04/07/92	Waite et al.	380	4	
	<b>BY</b>	5,109,413	04/28/92	Comerford et al.	380		
	<b>BZ</b>	5,117,457	05/26/92	Comerford et al.	380	3	
	<b>CA</b>	5,193,573	03/16/93	Chronister	137	315	
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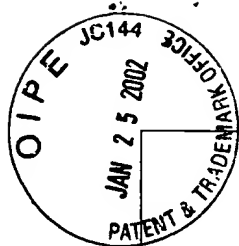
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	CD	5,410,598	04/25/95	Shear	380	4
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	CF	5,629,980	05/13/97	Stefik et al.	380	4
	CG	5,634,012	05/27/97	Stefik et al.	395	239
	CH	5,638,443	06/10/97	Stefik et al.	380	4
	CI	5,673,316	09/30/97	Auerbach et al.	380	4
	CJ	5,710,887	01/20/98	Chelliah et al.	395	226
	CK	5,715,403	02/03/98	Stefik	395	244
	CL	5,765,152	06/09/98	Erickson	707	9
	CM	5,809,144	09/15/98	Sirbu et al.	380	25
	CN	5,845,281	12/01/98	Benson et al.	707	9
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	CP	09/525,509	03/15/00	Peinado, et al.		

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**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
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	CU	WO 96/24092	08/08/96	PCT		
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